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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO |
|---|---------------|----------------------|-------------------------|-----------------|
| 09/540,466 | 03/31/2000 | UGO RIPAMONTI | STK-6 | 2489 |
| 1473 75 | 08/13/2002 | | | į |
| FISH & NEAVE 1251 AVENUE OF THE AMERICAS 50TH FLOOR NEW YORK, NY 10020-1105 | | | EXAMINER | |
| | | | NICKOL, GARY B | |
| NEW YORK, I | NY 10020-1105 | | ART UNIT | PAPER NUMBER |
| | ; | | 1642 | 1 60 |
| | i . | | DATE MAILED: 08/13/2002 | 14 |

Please find below and/or attached an Office communication concerning this application or proceeding.

| | | Annication N | A II 4(-) | | |
|---|---|-------------------------|--|--|--|
| Office Action Summary | | Application N . | Applicant(s) | | |
| | | 09/540,466 | RIPAMONTI ET AL. | | |
| | Office Action Summary | Examiner | Art Unit | | |
| | The MAILING DATE of this communication and | Gary B. Nickol Ph.D. | 1642 | | |
| The MAILING DATE of this communication appears on the cover sheet with the c rrespondence address Period for Reply | | | | | |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status | | | | | |
| 1)🖂 | Responsive to communication(s) filed on 24 M | May 2002 . | | | |
| 2a)⊠ | | is action is non-final. | | | |
| 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. | | | | | |
| Disposition of Claims | | | | | |
| 4) Claim(s) 2-4,6-17 and 19 is/are pending in the application. | | | | | |
| 4a) Of the above claim(s) is/are withdrawn from consideration. | | | | | |
| 5) Claim(s) is/are allowed. | | | | | |
| 6)⊠ Claim(s) <u>2,4,6,9-14,16,17 and 19</u> is/are rejected. | | | | | |
| 7) Claim(s) 3,7,8 and 15 is/are objected to. | | | | | |
| 8) Claim(s) are subject to restriction and/or election requirement. Application Papers | | | | | |
| 9) The specification is objected to by the Examiner. | | | | | |
| 10) ☐ The drawing(s) filed on is/are: a) ☐ accepted or b) ☐ objected to by the Examiner. | | | | | |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). | | | | | |
| 11) The proposed drawing correction filed on is: a) approved b) disapproved by the Examiner. | | | | | |
| If approved, corrected drawings are required in reply to this Office action. | | | | | |
| 12) The oath or declaration is objected to by the Examiner. | | | | | |
| Priority under 35 U.S.C. §§ 119 and 120 | | | | | |
| 13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). | | | | | |
| a) ☐ All b) ☐ Some * c) ☐ None of: | | | | | |
| 1. Certified copies of the priority documents have been received. | | | | | |
| 2. Certified copies of the priority documents have been received in Application No | | | | | |
| 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. | | | | | |
| 14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application). | | | | | |
| a) ☐ The translation of the foreign language provisional application has been received. 15)☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121. | | | | | |
| Attachment(s) | | | | | |
| 2) Notice | e of References Cited (PTO-892) e of Draftsperson's Patent Drawing Review (PTO-948) nation Disclosure Statement(s) (PTO-1449) Paper No(s) <u>11</u> | 5) Notice of Informal F | (PTO-413) Paper No(s) Patent Application (PTO-152) | | |

The Amendment filed May 24, 2002 (Paper No. 13) in response to the Office Action of December 18, 2001 is acknowledged and has been entered.

Claim 5 was cancelled.

Claims 2-4, 6-17, 19 are pending and are currently under consideration.

The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office Action.

Rejections Maintained

Claims 2, 4, 6, 9-14, 16-17, and 19 remain rejected under 35 U.S.C. 102(b) as being anticipated by Duneas et al. (Growth Factors, Vol. 15, 1998, pages 259-277, IDS) for the reasons of record in Paper No. 8, pages 7-8; and Paper No. 10, pages 3-4.

Applicant's argue (Paper No. 13, page 5) that Duneas *et al.* do not teach that treatment with hOP-1 alone induces any angiogenesis and that treatment with TGF-β1 improves the angiogenic effect of hOP-1. Applicants further argue that Figures 6A and 6C of Duneas *et al.* demonstrate that there is no visible vascular invasion when these defects are treated with hOP-1, alone. Hence, applicant's argue that the reference does not anticipate a morphogen with angiogenic inductive activity. This argument has been considered but is not found persuasive for the reasons of record. Furthermore, the specification teaches (page 2, line 30) that in order for a specific tissue to form, there is a need for proper vascular invasion of that tissue. For example,

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during bone formation, in the absence of vascular invasion, only cartilage is formed. If, however, there is vascular invasion, then bone formation is observed (page 3, lines 1-5). Duneas *et al.* teach (page 264, 2nd column) that "as expected 5, 25, and 125ug hOP-1 induced bone formation in the rectus abdominus (Figures 1B and 2A). Also, table 1 (page 272) indicates that bone formation is observed by single applications of hOP-1. Since it is clear (via the specification and the art of record) that angiogenesis is a prerequisite for bone formation, and since hOP-1 alone induced bone formation, then hOP1 has angiogenic inductive activity. Thus, applicants arguments have not been found persuasive, and the rejection is maintained.

All other rejections/objections are withdrawn in view of applicant's amendments and arguments there to.

Claims 3, 7-8, and 15 are objected to as being dependent from a rejected base claim.

Conclusion

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a). Applicant's amendment necessitated the new grounds of objection.

A shortened statutory period for reply to this final action is set to expire THREE

MONTHS from the mailing date of this action. In the event a first reply is filed within TWO

MONTHS of the mailing date of this final action and the advisory action is not mailed until after

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the end of the THREE-MONTH shortened statutory period, then the shortened statutory period

will expire on the date the advisory action is mailed, and any extension fee pursuant to 37

CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event,

however, will the statutory period for reply expire later than SIX MONTHS from the mailing

date of this final action.

Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Gary B. Nickol Ph.D. whose telephone number is 703-305-7143.

The examiner can normally be reached on M-F, 8:30-5:00 P.M..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Anthony Caputa can be reached on 703-308-3995. The fax phone numbers for the

organization where this application or proceeding is assigned are 703-305-3014 for regular

communications and 703-308-4242 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding

should be directed to the receptionist whose telephone number is 703-308-0196.

Gary B. Nickol, Ph.D.

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Examiner

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GBN

August 9, 2002

ANTHONY C. CAPUTA SUPERVISORY PATENT EXAMINER SUPER TECHNOLOGY CENTER 1999